

# **Introduction to antennas**

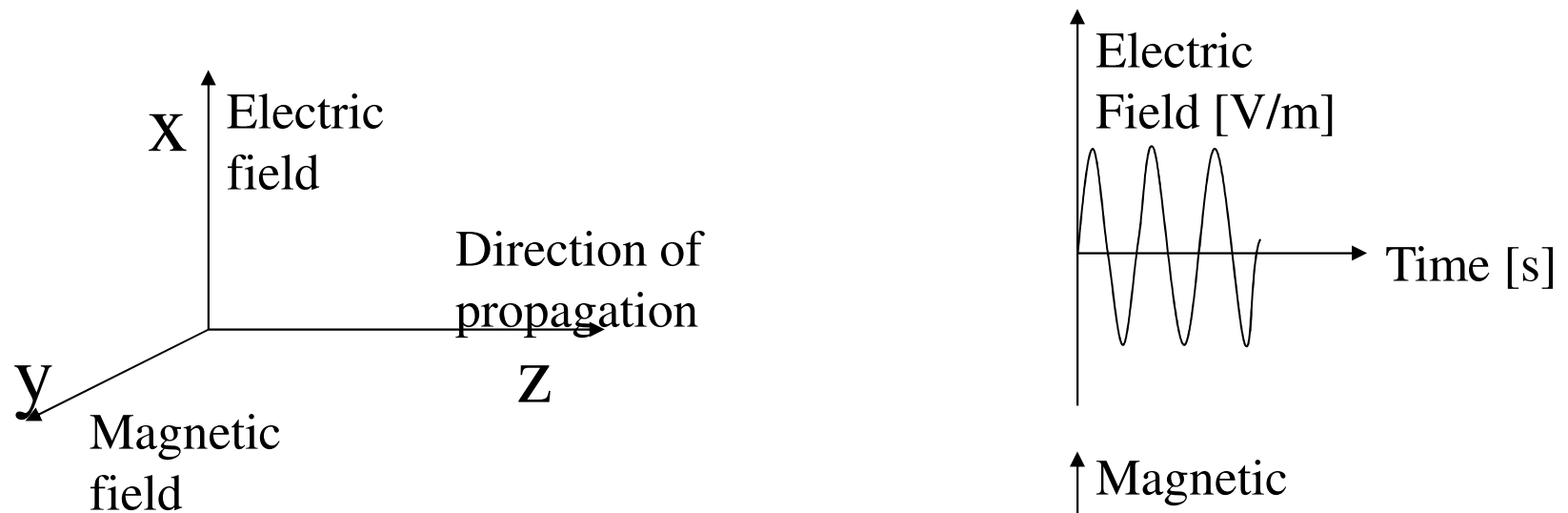
## **Outline**

- **What is an antenna?**
- **Review of EM wave**
- **Fundamental Parameters of antennas**
- **Types of antennas**

# What is an antenna?

- Region of transition between guided and free space propagation
- Concentrates incoming wave onto a sensor (receiving case)
- Launches waves from a guiding structure into space or air (transmitting case)
- Often part of a signal transmitting system over some distance
- Not limited to electromagnetic waves (e.g. acoustic waves)

# Free space electromagnetic wave



- Disturbance of EM field
- Velocity of light ( $\sim 300\,000\,000$  m/s)
- E and H fields are orthogonal
- E and H fields are in phase
- Impedance,  $Z_0$ : 377 ohms

# EM wave in free space

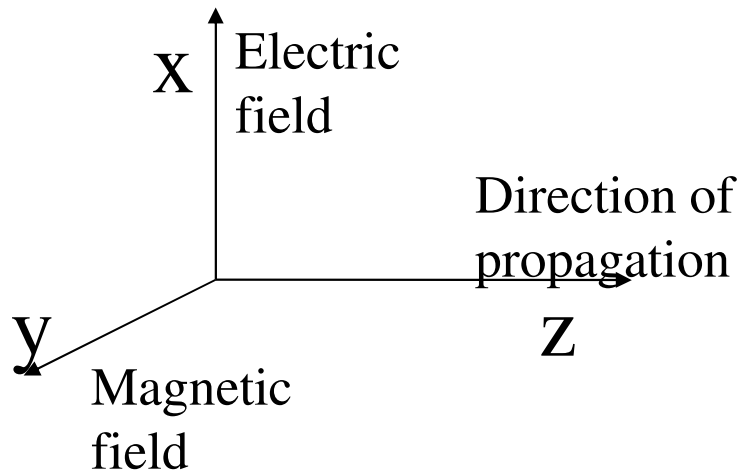
$$\frac{\partial^2 E_x}{\partial t^2} = \frac{1}{\mu_0 \epsilon_0} \frac{\partial^2 E_x}{\partial z^2}$$

$$\frac{\partial^2 H_y}{\partial t^2} = \frac{1}{\mu_0 \epsilon_0} \frac{\partial^2 H_y}{\partial z^2}$$



$$E_x = E_0 e^{j(\omega t \pm \beta z)}$$

$$H_y = H_0 e^{j(\omega t \pm \beta z)}$$



frequency  $f = \frac{\omega}{2\pi}$

wavelength  $\lambda = \frac{1}{\sqrt{\mu_0 \epsilon_0} f}$

Phase constant  $\beta = \frac{2\pi}{\lambda}$

$$Z_0 = \frac{E_0}{H_0}$$

$$Z_0 = \sqrt{\frac{\mu_0}{\epsilon_0}}$$

## Wave in lossy medium

$$E_x = E_0 e^{-\gamma z} e^{j\omega t} = E_0 \cdot e^{-\alpha z} \cdot e^{-j\beta z} \cdot e^{j\omega t}$$

Attenuation  
increases with z

Phase varies  
with z

Periodic time  
variation

$\gamma = \alpha + j\beta$  Propagation constant

$\alpha$  Attenuation constant

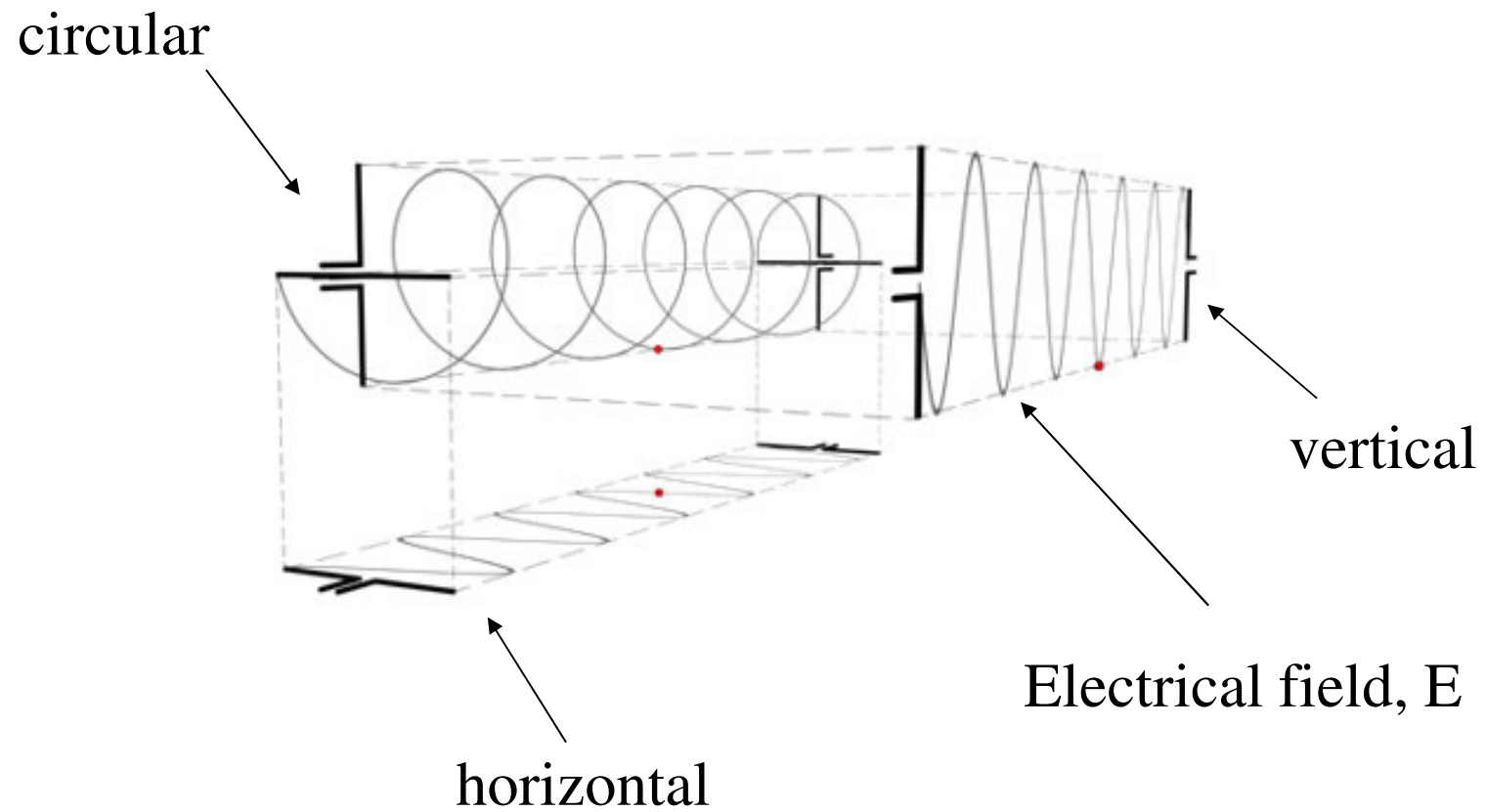
$\beta$  Phase constant

## Power flow

Poynting vector  $\vec{S} = \vec{E} \times \vec{H}$

Average power density  $S_{av} = \frac{1}{2} |E_x|^2 \frac{1}{Z_0} = \frac{1}{2} |H_y|^2 Z_0$

## Polarization of EM wave



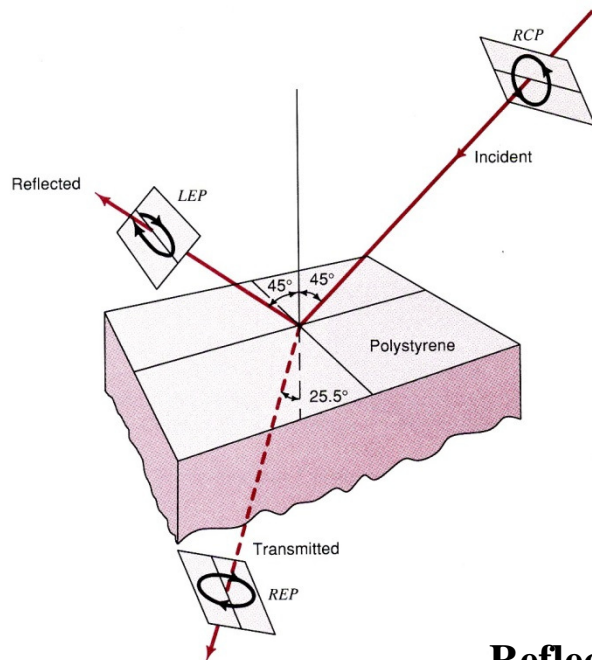
# Reflection, refraction

## Reflection

$$\theta_r = \theta_i$$

Reflection coefficient:  $\rho = \frac{E_r}{E_i}$

Depends on media, polarisation of incident wave and angle of incidence.



## Refraction

$$\sin(\theta_t) = \frac{\eta_1}{\eta_2} \sin(\theta_i)$$

if both media are lossless  $\sin(\theta_t) = \sqrt{\frac{\mu_1 \epsilon_1}{\mu_2 \epsilon_2}} \sin(\theta_i)$

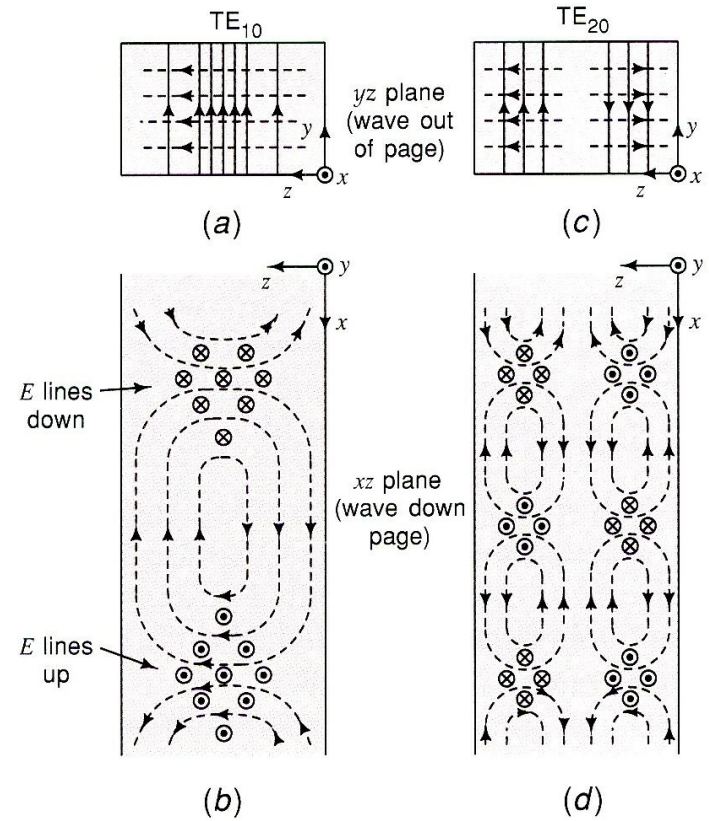
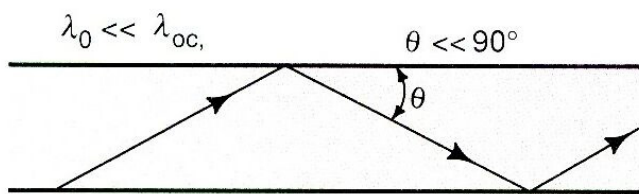
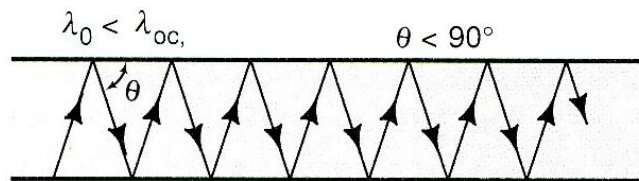
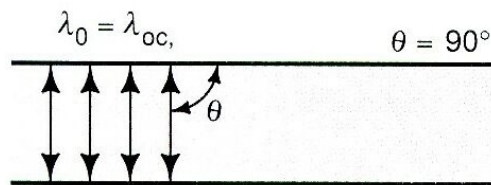
**Reflection and refraction affect polarisation**



# Guided electromagnetic wave

- Cables
  - Used at frequencies below 35 GHz
- Waveguides
  - Used between 0.4 GHz to 350 GHz
- Quasi-optical system
  - Used above 30 GHz
- TEM wave in cables and quasi-optical systems (same as free space)
- TH,TE and combinations in waveguides
  - E or H field component in the direction of propagation
  - Wave bounces on the inner walls of the guide
  - Lower and upper frequency limits
  - Cross section dimensions proportional to wavelength

# Rectangular waveguide



# Launching of EM wave

Open up the cable and  
separate wires



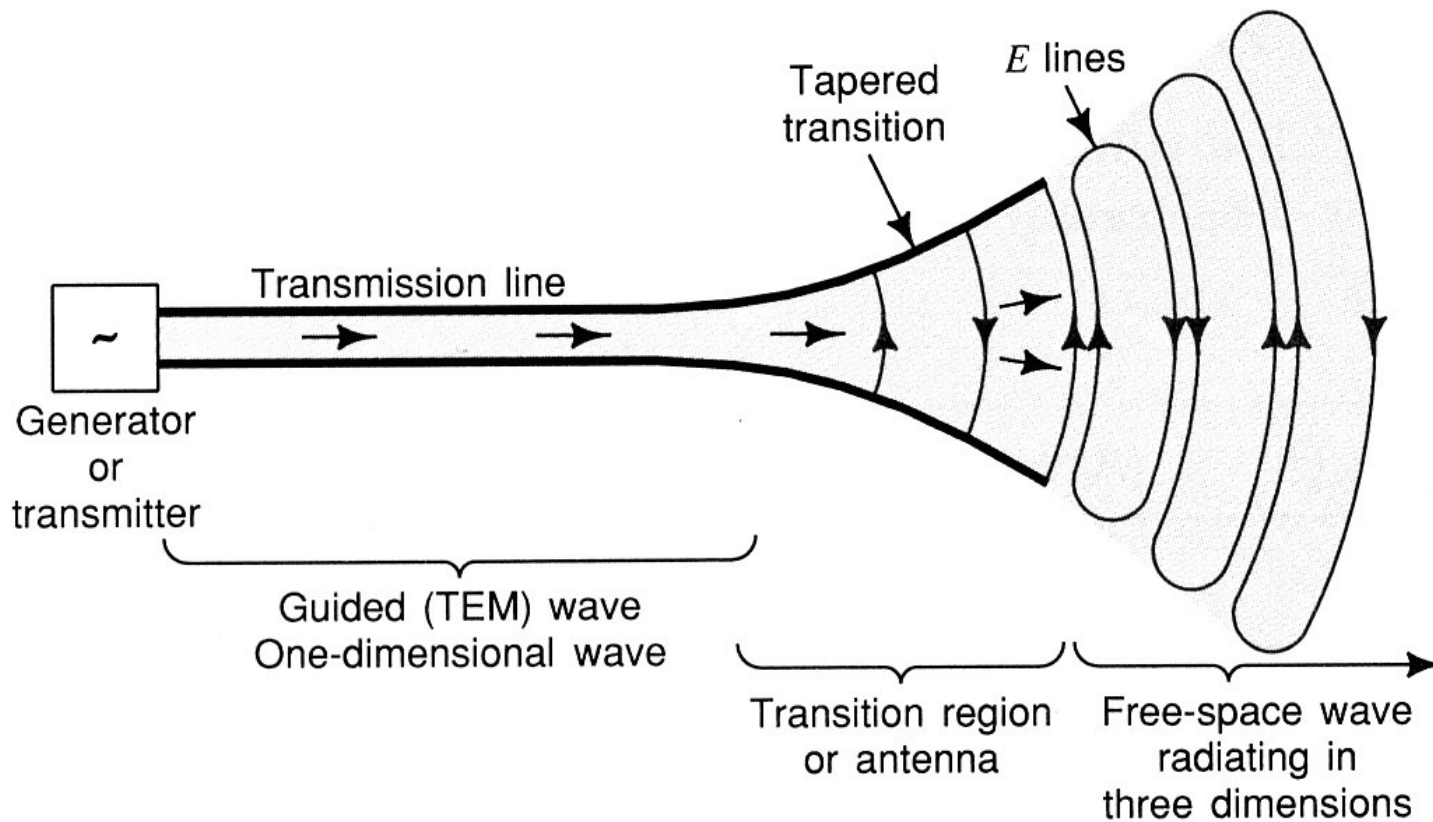
Dipole  
antenna

Open and flare up  
waveguide



Horn  
antenna

# Transition from guided wave to free space wave



# Reciprocity

- Transmission and reception antennas can be used interchangeably
- Medium must be linear, passive and isotropic
- Caveat: Antennas are usually optimised for reception or transmission not both !

# Basic antenna parameters

- Radiation pattern
- Beam area and beam efficiency
- Effective aperture and aperture efficiency
- Directivity and gain
- Radiation resistance

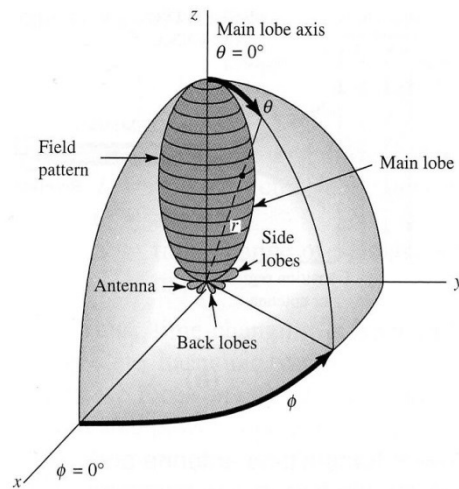
# Radiation pattern

- Far field patterns
- Field intensity decreases with increasing distance, as  $1/r$
- Radiated power density decreases as  $1/r^2$
- Pattern (shape) independent on distance
- Usually shown only in principal planes

$$\text{Far field : } r > 2 \frac{D^2}{\lambda}$$

D : largest dimension of the antenna

## Radiation pattern (2)

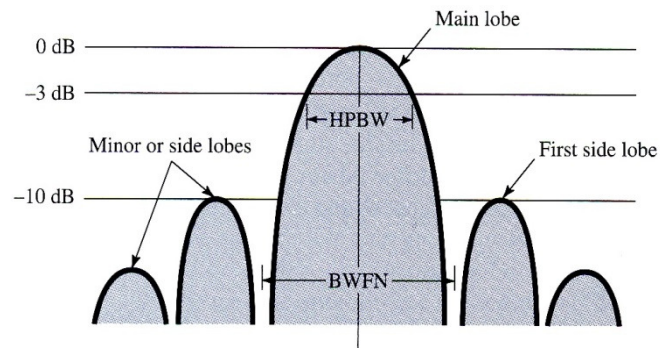


Field patterns

$$E_{\theta}(\theta, \phi) \quad E_{\phi}(\theta, \phi)$$

+ phase patterns

$$\varphi_{\theta}(\theta, \phi) \quad \varphi_{\phi}(\theta, \phi)$$



$$P(\theta, \phi) = \frac{E_{\theta}^2(\theta, \phi) + E_{\phi}^2(\theta, \phi)}{Z_0} r^2$$

$$P_n(\theta, \phi) = \frac{P(\theta, \phi)}{P(\theta, \phi)_{\max}}$$

HPBW: half power beam width



## Beam area and beam efficiency

Beam area  $\Omega_A = \int_0^{2\pi} \int_0^\pi P_n(\theta, \phi) \cdot \sin(\theta) d\theta d\phi = \iint_{4\pi} P_n(\theta, \phi) d\Omega$

Main beam area  $\Omega_M = \iint_{\substack{\text{Main} \\ \text{beam}}} P_n(\theta, \phi) d\Omega$

Minor lobes area  $\Omega_m = \iint_{\substack{\text{minor} \\ \text{lobes}}} P_n(\theta, \phi) d\Omega$

$$\Omega_A = \Omega_M + \Omega_m$$

Main beam efficiency  $\varepsilon_M = \frac{\Omega_M}{\Omega_A}$

## Effective aperture and aperture efficiency

Receiving antenna extracts power from incident wave

$$P_{rec} = S_{in} \cdot A_e$$

Aperture and beam area are linked:  $A_e = \frac{\lambda^2}{\Omega_A}$

For some antennas, there is a clear physical aperture and an aperture efficiency can be defined

$$\mathcal{E}_{ap} = \frac{A_e}{A_p}$$

## Directivity and gain

Directivity 
$$D = \frac{P(\theta, \phi)_{\max}}{P(\theta, \phi)_{\text{average}}}$$

From pattern 
$$D = \frac{4\pi}{\int\int_{4\pi} P_n(\theta, \phi) d\Omega} = \frac{4\pi}{\Omega_A}$$

From aperture 
$$D = 4\pi \frac{A_e}{\lambda^2}$$
      Isotropic antenna:  $\Omega_A = 4\pi$      $D = 1$

Gain 
$$G = k_g D$$

$k_g$  = efficiency factor ( $0 < k_g < 1$ )

$G$  is lower than  $D$  due to ohmic losses only

# Radiation resistance

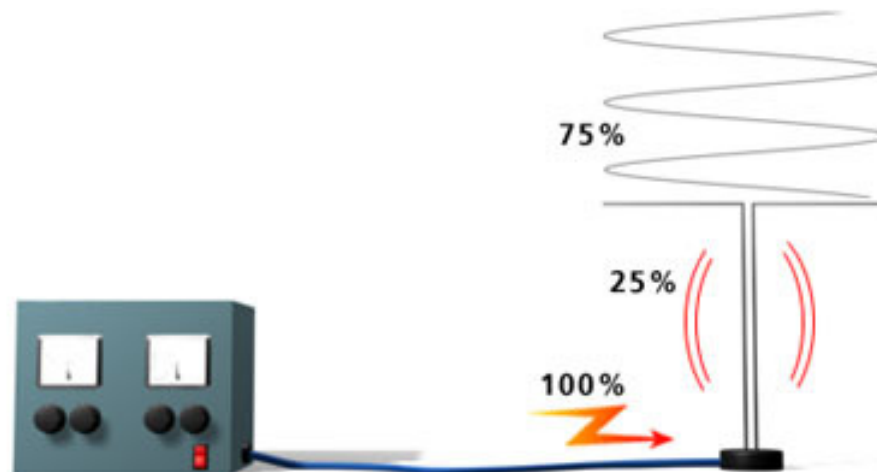
- Antenna presents an impedance at its terminals

$$Z_A = R_A + jX_A$$

- Resistive part is radiation resistance plus loss resistance

$$R_A = R_R + R_L$$

The radiation resistance does not correspond to a real resistor present in the antenna but to the resistance of space coupled via the beam to the antenna terminals.

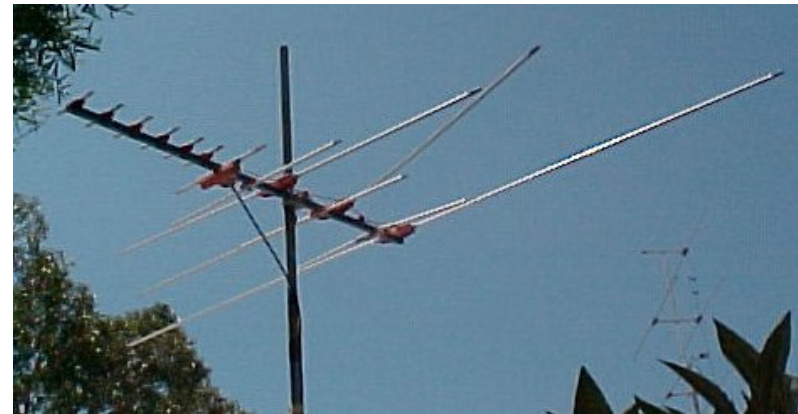
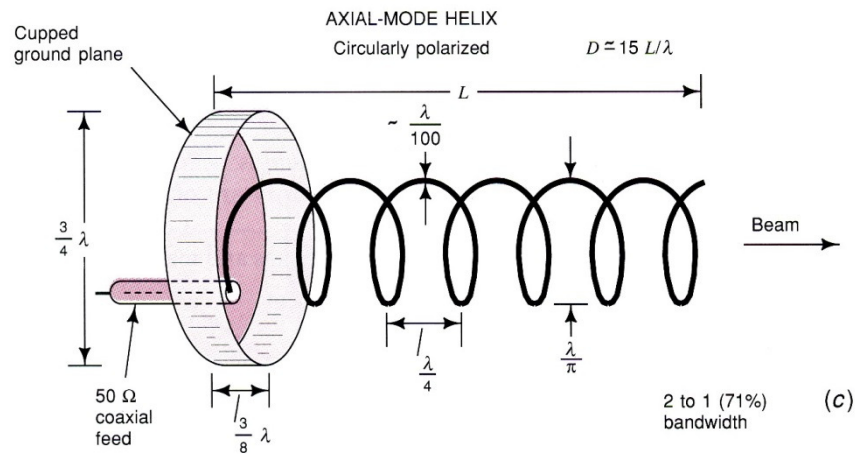
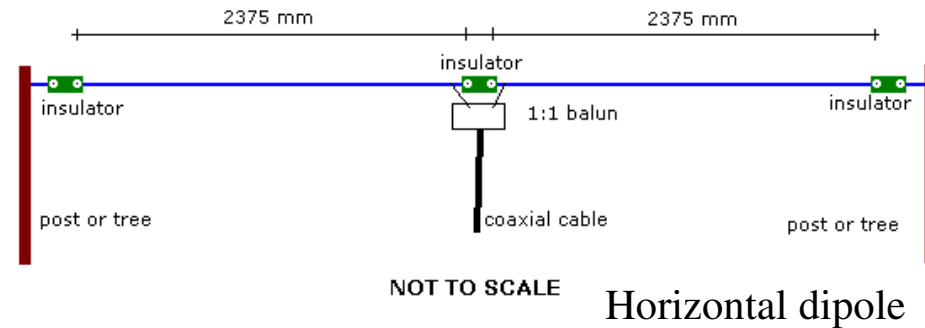


# Types of Antenna

- Wire
- Aperture
- Arrays

# Wire antenna

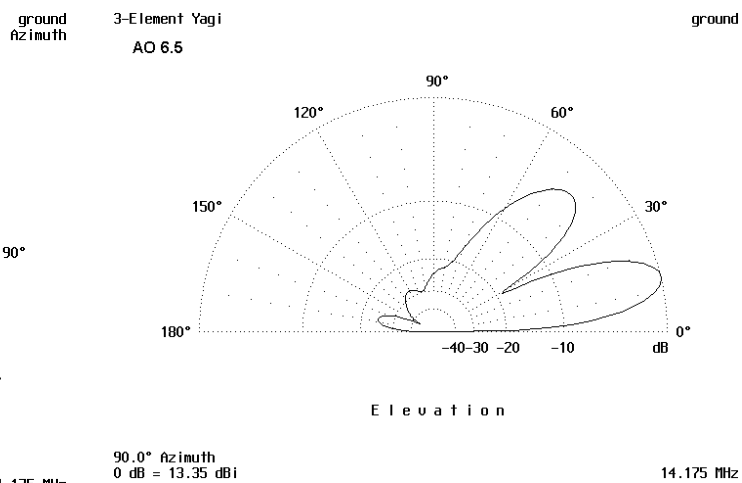
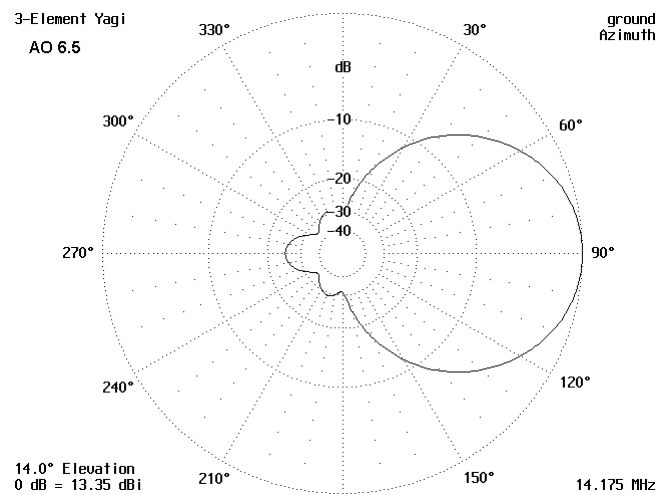
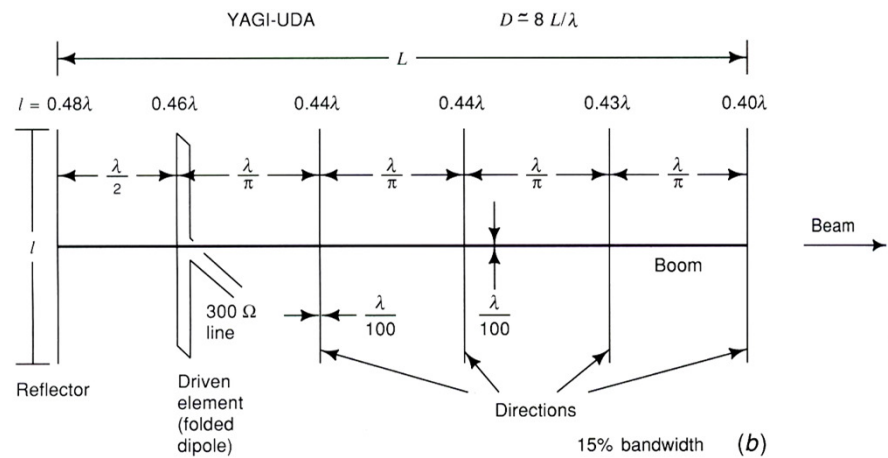
- Dipole
- Loop
- Folded dipoles
- Helical antenna
- Yagi (array of dipoles)
- Corner reflector
- Many more types



## Wire antenna - resonance

- Many wire antennas (but not all) are used at or near resonance
- Some times it is not practical to built the whole resonant length
- The physical length can be shortened using loading techniques
  - Inductive load: e.g. center, base or top coil (usually adjustable)
  - Capacitive load: e.g. capacitance “hats” (flat top at one or both ends)

# Yagi-Uda



Elements	Gain dBi	Gain dBd
3	7.5	5.5
4	8.5	6.5
5	10	8
6	11.5	9.5
7	12.5	10.5
8	13.5	11.5

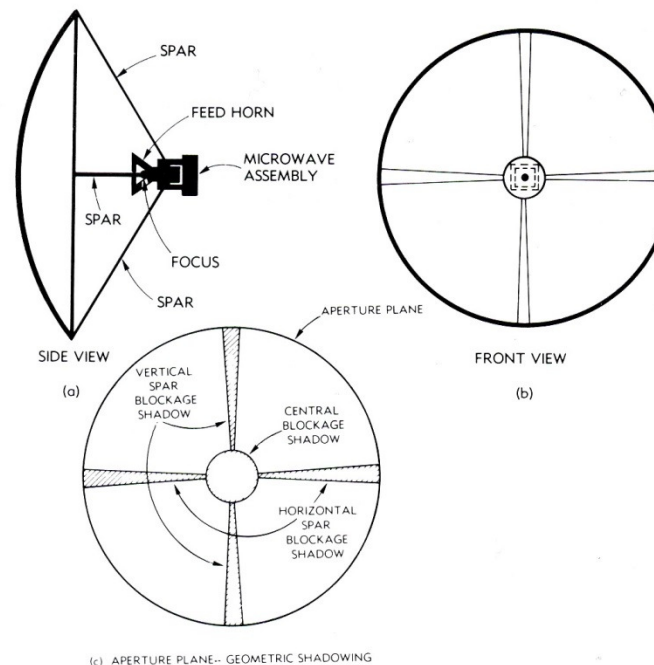


# Aperture antenna

- Collect power over a well defined aperture
- Large compared to wavelength
- Various types:
  - Reflector antenna
  - Horn antenna
  - Lens

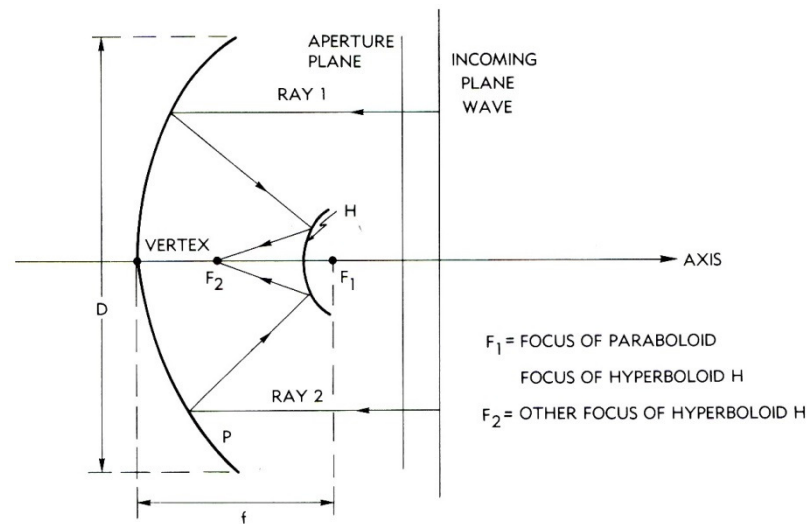
# Reflector antenna

- Shaped reflector: parabolic dish, cylindrical antenna ...
  - Reflector acts as a large collecting area and concentrates power onto a focal region where the feed is located
- Combined optical systems: Cassegrain, Nasmyth ...
  - Two (Cassegrain) or three (Nasmyth) mirrors are used to bring the focus to a location where the feed including the transmitter/receiver can be installed more



# Cassegrain antenna

- Less prone to back scatter than simple parabolic antenna
- Greater beam steering possibility: secondary mirror motion amplified by optical system
- Much more compact for a given  $f/D$  ratio



## Cassegrain antenna (2)

- Gain depends on diameter, wavelength, illumination
- Effective aperture is limited by surface accuracy, blockage
- Scale plate depends on equivalent focal length
- Loss in aperture efficiency due to:
  - Tapered illumination
  - Spillover (illumination does not stop at the edge of the dish)
  - Blockage of secondary mirror, support legs
  - Surface irregularities (effect depends on wavelength)

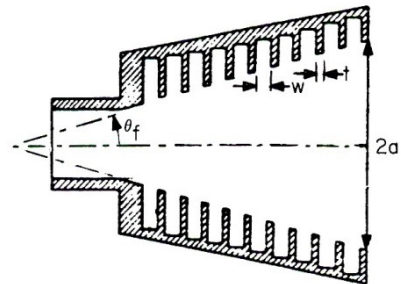
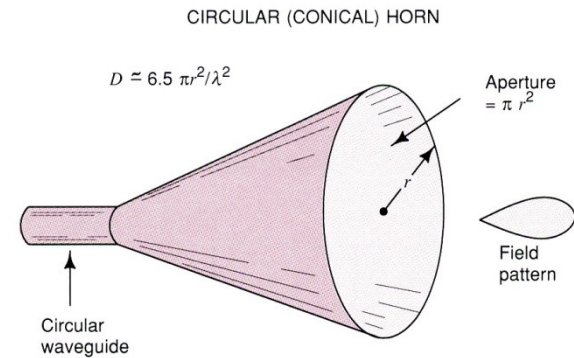
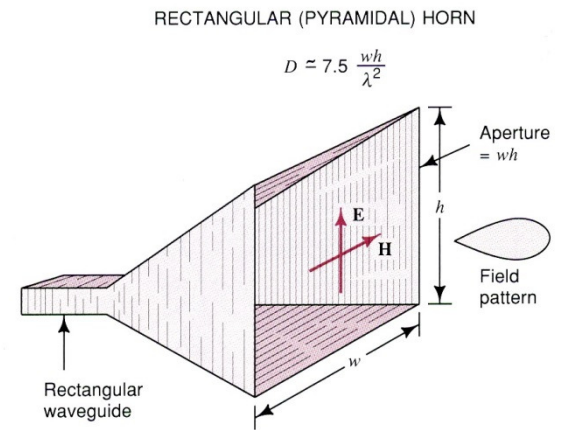
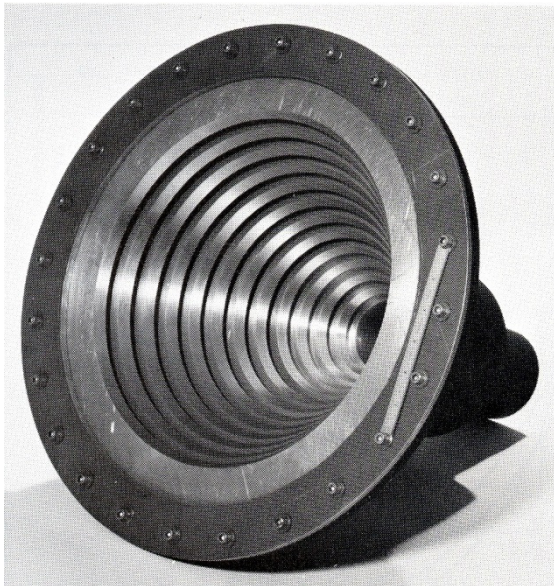
$$K_g = \cos\left(4\pi \frac{\delta}{\lambda}\right)^2 \quad \delta = \text{rms of surface deviation}$$

At the SEST:

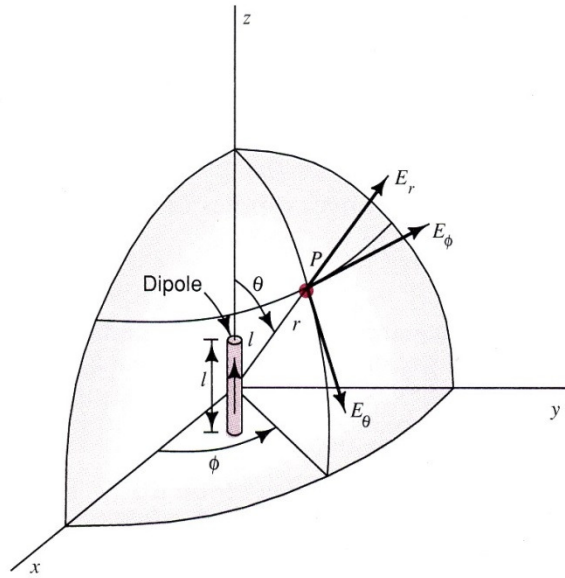
- taper efficiency:  $\varepsilon_t = 0.87$
- spillover efficiency:  $\varepsilon_s = 0.94$
- blockage efficiency:  $\varepsilon_b = 0.96$

# Horn antenna

- Rectangular or circular waveguide flared up
- Spherical wave fronts from phase centre
- Flare angle and aperture determine gain



## Short dipole



$$E_r = \frac{I_0 l e^{j(\omega t - \beta r)} \cos(\theta)}{2\pi\epsilon_0} \left( \frac{1}{cr^2} + \frac{1}{j\omega r^3} \right)$$

$$E_\theta = \frac{I_0 l e^{j(\omega t - \beta r)} \sin(\theta)}{4\pi\epsilon_0} \left( \frac{j\omega}{c^2 r} + \frac{1}{cr^2} + \frac{1}{j\omega r^3} \right)$$

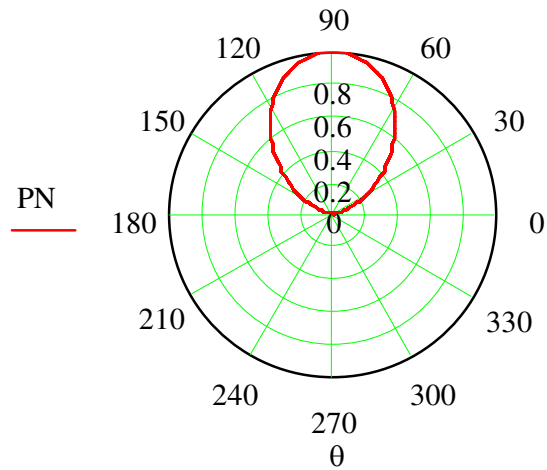
$$H_\phi = \frac{I_0 l e^{j(\omega t - \beta r)} \sin(\theta)}{4\pi} \left( \frac{j\omega}{cr} + \frac{1}{r^2} \right)$$

- Length much shorter than wavelength
- Current constant along the length
- Near dipole power is mostly reactive
- As  $r$  increases  $E_r$  vanishes,  $E$  and  $H$  gradually become in phase

$$\text{for } r \gg \frac{\lambda}{2\pi}, \quad E_\theta \text{ and } H_\phi \text{ vary as } \frac{1}{r} \quad \longrightarrow \quad E_\theta = \frac{j60\pi I_0 e^{j(\omega t - \beta r)} \sin(\theta)}{r} \frac{l}{\lambda}$$

$$P \text{ varies as } \frac{1}{r^2}$$

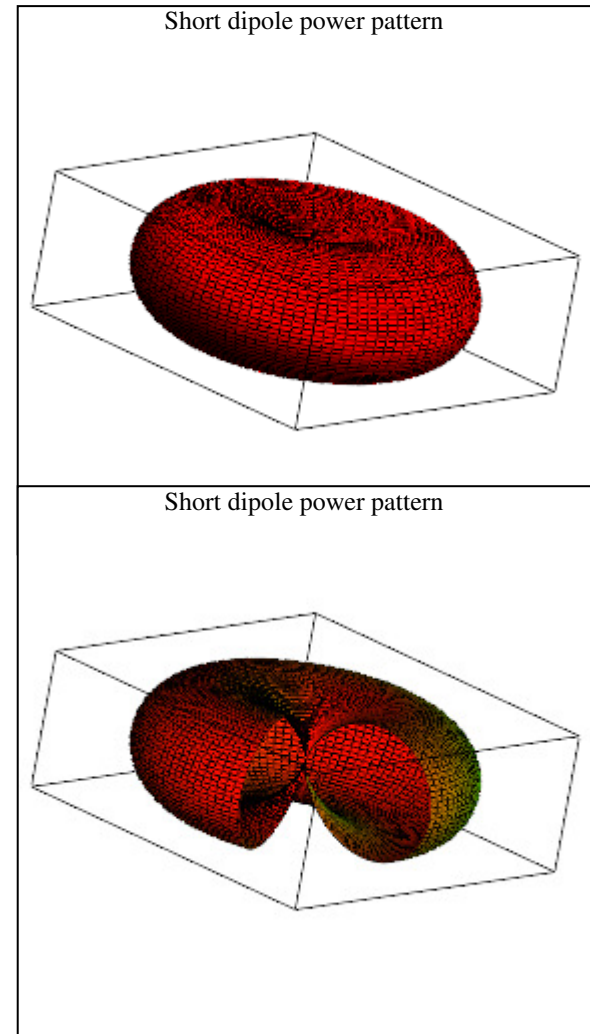
# Short dipole pattern



$$\Omega_A = \frac{8\pi}{3}$$

$$R_r = 80\pi^2 \left( \frac{l}{\lambda} \right)^2$$

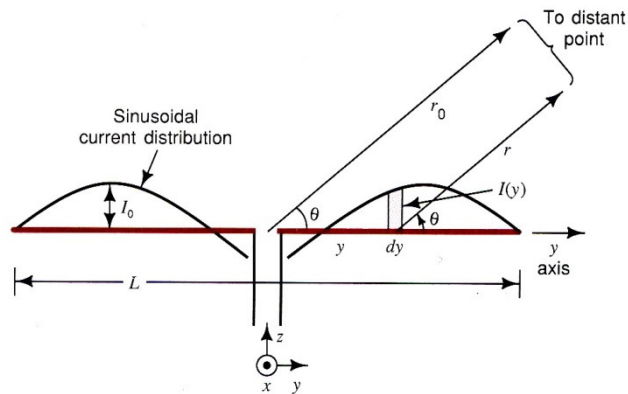
$$D = 1.5$$



(X,Y,Z)

# Thin wire antenna

- Wire diameter is small compared to wavelength
- Current distribution along the wire is no longer constant



e.g. 
$$I(y) = I_0 \sin\left(\frac{2\pi}{\lambda}\left(\frac{L}{2} \pm y\right)\right)$$

centre - fed dipole

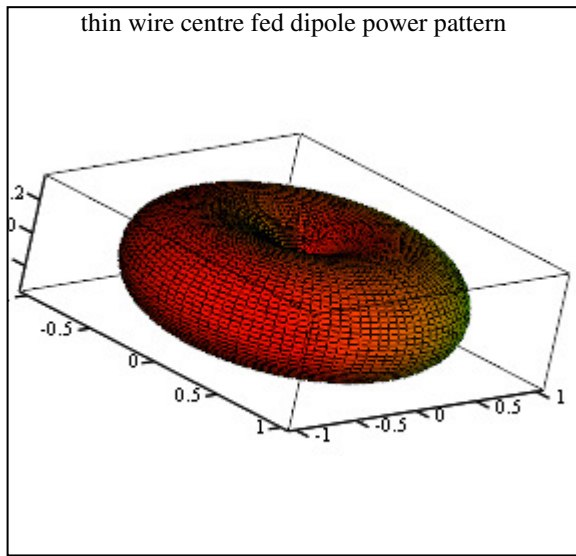
- Using field equation for short dipole,  
replace the constant current with actual distribution

$$E_{\theta} = \frac{j60I_0 e^{j(\omega t - \beta r)}}{r} \left( \frac{\cos\left(\frac{\beta L \cos(\theta)}{2}\right) - \cos\left(\frac{\beta L}{2}\right)}{\sin(\theta)} \right)$$

centre - fed dipole,  $I_0$  = current at feed point



# Thin wire pattern

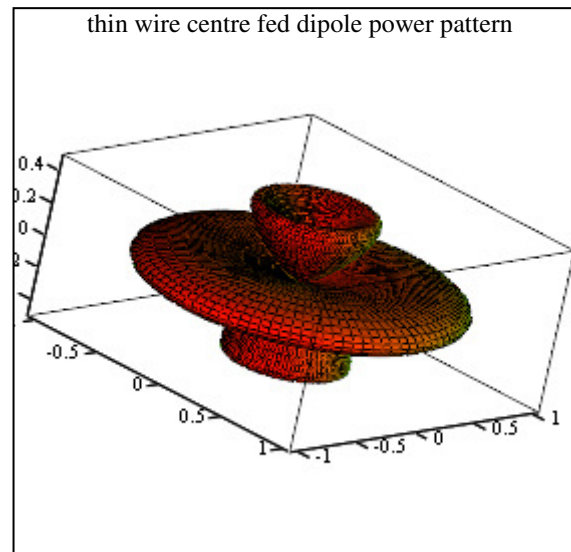


(X,Y,Z)

$$l = 1 \frac{\lambda}{2}$$

$$\Omega_A = 7.735$$

$$D = 1.625$$

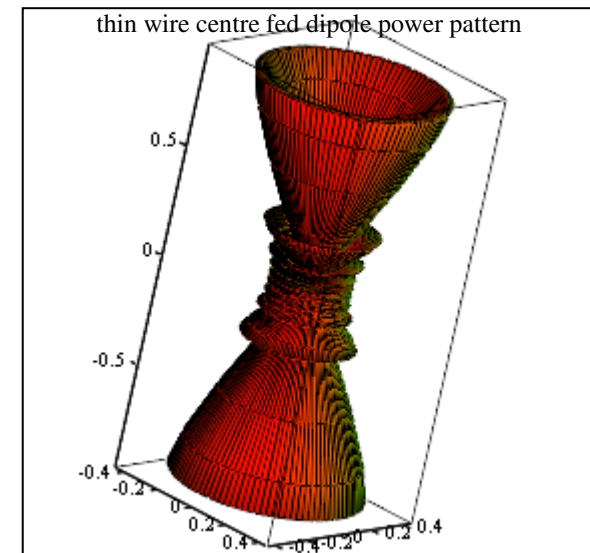


(X,Y,Z)

$$l = 1.395\lambda$$

$$\Omega_A = 5.097$$

$$D = 2.466$$



(X,Y,Z)

$$l = 10\lambda$$

$$\Omega_A = 1.958$$

$$D = 6.417$$

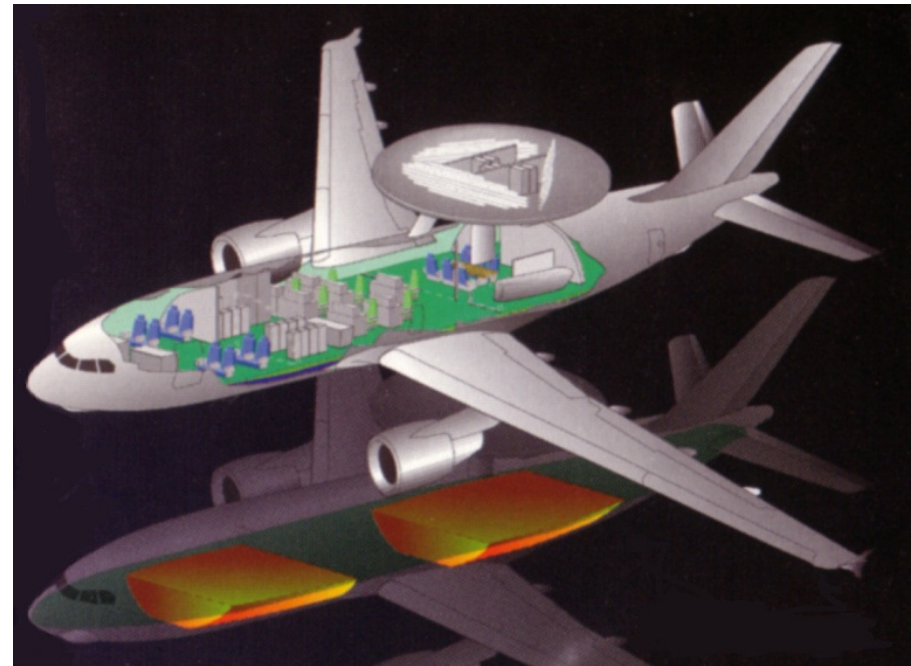
# Antenna Array Examples



Airborne Warning and Control System (AWACS)

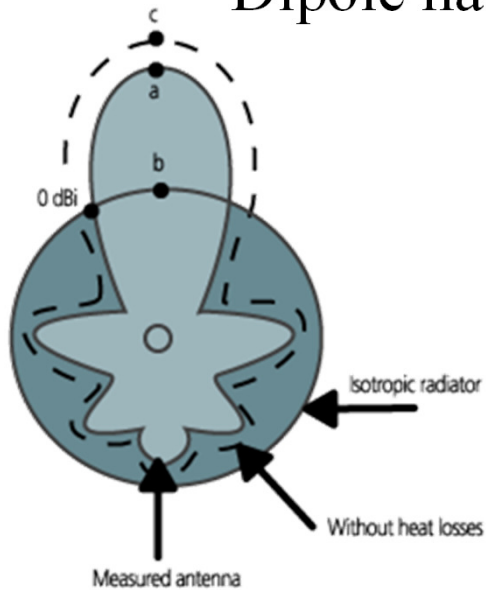


Very Large Antenna (VLA)



## dBi versus dBd

- **dBi** indicates gain vs. isotropic antenna
  - Isotropic antenna radiates equally well in all directions, spherical pattern
- **dBd** indicates gain vs. reference half-wavelength dipole
  - Dipole has a doughnut shaped pattern with a gain of 2.15 dBi



$$dBi = dBd + 2.15 dB$$

## Feed and line matching

- The antenna impedance must be matched by the line feeding it if maximum power transfer is to be achieved
- The line impedance should then be the complex conjugate of that of the antenna
- Most feed line are essentially resistive

# Signal transmission, radar echo

- Transmitting antenna  $A_{et}, P_t, G_t, \lambda$
- Receiving antenna  $A_{er}, P_r, G_r$

$$P_r = \frac{G_t P_t}{4\pi \cdot r^2} \frac{\lambda^2 G_r}{4\pi} = \left( \frac{\lambda}{4\pi \cdot r} \right)^2 G_t G_r P_t$$

S, power density

Effective receiving area

Radar return

$$P_r = \frac{G_t P_t}{4\pi \cdot r^2} \frac{\sigma}{4\pi \cdot r^2} \frac{G_r \lambda^2}{4\pi} = P_t G_t G_r \frac{\lambda^2}{(4\pi)^3 r^4} \sigma$$

S, power density      Reflected power density      Effective receiving area

$\sigma$  = radar cross section (area)